

#3



\$65.00 - 205 - ATN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
Applicants: Roy Curtiss, III, and Steven A. Tinge

Serial No.: 08/473,789

Filed: June 7, 1995

For: RECOMBINANT BACTERIAL VACCINE  
SYSTEM WITH ENVIRONMENTALLY LIMITED VIABILITY

Box Missing Parts  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

TRANSMITTAL OF ASSIGNMENT,  
DECLARATION/POWER OF ATTORNEY,  
VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
AND REQUEST FOR REFUND

Sir:

Enclosed for filing in the above-identified patent  
application are the following:

1. Declaration/Power of Attorney executed by Roy Curtiss,  
III, and Steven A. Tinge.
2. Assignment by Roy Curtiss, III, and Steven A. Tinge to  
Washington University.
3. Verified Statement Claiming Small Entity Status by  
Washington University.
4. Certificate under 37 C.F.R. Section 3.73(b) by  
Washington University.
5. Verified Statement Claiming Small Entity Status by Megan  
Animal Health, Inc.

243380

MEGAN100

020 TT 08/18/95 08473789

1 205

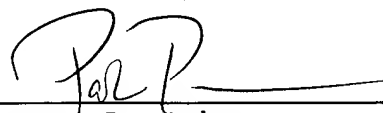
65.00 CK

U.S.S.N. 08/473,789  
Filed: June 7, 1995  
TRANSMITTAL OF ASSIGNMENT,  
DECLARATION/POWER OF ATTORNEY,  
VERIFIED STATEMENT CLAIMING SMALL  
ENTITY STATUS AND REQUEST FOR REFUND

Applicants request a refund of 50% of the \$994.00 filing fee, a total of \$497.00. This request is being made within two months of payment of the original full fee, pursuant to 37 C.F.R. § 1.28.

The Commissioner may apply the refund to our Deposit Account No. 01-2507. Checks in the amounts of \$40.00 and \$65.00 are enclosed to cover the Assignment recordation fee and the Declaration surcharge, respectively. Please credit any overpayment or charge any other fees due in connection with this matter to our deposit account No. 01-2507. A duplicate of this letter is enclosed for accounting purposes.

Respectfully submitted,

  
\_\_\_\_\_  
Patrea L. Pabst  
Reg. No. 31,284

Dated: August 7, 1995  
ARNALL GOLDEN & GREGORY  
2800 One Atlantic Center  
1201 W. Peachtree Street  
Atlanta, Georgia 30309-3450  
404-873-8794

U.S.S.N. 08/473,789  
Filed: June 7, 1995  
TRANSMITTAL OF ASSIGNMENT,  
DECLARATION/POWER OF ATTORNEY,  
VERIFIED STATEMENT CLAIMING SMALL  
ENTITY STATUS AND REQUEST FOR REFUND

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this Transmittal of Assignment, Declaration/Power of Attorney, Verified Statement Claiming Small Entity Status and Request for Refund, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date: August 7, 1995

  
\_\_\_\_\_  
Dawn W. Dorsey

#3



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

APPLICATION NUMBER 08/473,789	FILING DATE 06/07/95	FIRST NAMED APPLICANT CURTISS, III	ATTY. DOCKET NO./TITLE R MEGAN-100-21
----------------------------------	-------------------------	---------------------------------------	--

08/473,789 06/07/95 CURTISS, III

R MEGAN-100-21

0232/0720

PATREA L PABST  
 ARNALL GOLDEN & GREGORY  
 2800 ONE ATLANTIC CENTER  
 1201 W PEACHTREE STREET  
 ATLANTA GA 30309-3450

DATE MAILED: 0000

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
 FILING DATE GRANTED**

07/20/95

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for large entities or \$ 65 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 825.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. ☐ The statutory basic filing fee is: ☐ missing ☒ insufficient. Applicant as a ☒ large entity ☐ small entity, must submit \$ 365 to complete the basic filing fee.
2. ☒ Additional claim fees of \$ 330 as a ☒ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. ☐ The oath or declaration:
  - ☐ is missing.
  - ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.
4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
5. ☐ The signature(s) to the oath or declaration is/are: ☐ missing; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:
 

\_\_\_\_\_ An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ \_\_\_\_\_ under 37 CFR 1.17(k), unless this fee has already been paid.
8. ☐ A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).
9. ☐ Your filing receipt was mailed in error because your check was returned without payment.
10. ☐ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.
11. ☐ Other.

Direct the response to Box Missing Part and refer any questions to the Customer Service Center at (703) 308-1202.

**A copy of this notice MUST be returned with the response.**